

No. CANEC1917824411

Date: 18 Sep 2019

Page 1 of 6

GUANG ZHOU SHI TIAN XIN PHOTOELECTRICITY CO., LTD

#15-1, JINGU SOUTH AVENUE, XIUTANG VILLAGE, HUADONG TOWN, HUADU DISTRICT, GUANGZHOU, GUANGDONG CHINA

The following sample(s) was/were submitted and identified on behalf of the clients as : Ceramic encapsulated LED

SGS Job No. :	CP19-049844 - GZ
Date of Sample Received :	09 Sep 2019
Testing Period :	09 Sep 2019 - 17 Sep 2019
Test Requested :	Selected test(s) as requested by client.
Test Method :	Please refer to next page(s).
Test Results :	Please refer to next page(s).
Conclusion :	Based on the performed tests on submitted sample(s), the results of Lead, Mercury, Cadmium, Hexavalent chromium, Polybrominated biphenyls (PBBs), Polybrominated diphenyl ethers (PBDEs) and Phthalates such as Bis(2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP), and Diisobutyl phthalate (DIBP) comply with the limits as set by RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.

Signed for and on behalf of SGS-CSTC Standards Technical Services Co., Ltd. Guangzhou Branch

Volet Shi

Violet,Shi Approved Signatory



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or rappearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com"

198 Kezhu Road,Scientech Park Guangzhou Economic & Technology Development Dishid,Guangzhou,China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国・广州・经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



No. CANEC1917824411

Date: 18 Sep 2019

Page 2 of 6

Test Results :

Test Part Description :

Specimen No.	SGS Sample ID	Description
SN1	CAN19-178244.016	Colorless transparent soft material w/ chip
SN2	CAN19-178244.017	White sheet w/ silvery surface & chip

Remarks :

- (1) 1 mg/kg = 0.0001%
- (2) MDL = Method Detection Limit
- (3) ND = Not Detected (< MDL)
- (4) "-" = Not Regulated

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method : With reference to IEC 62321-4:2013+A1:2017, IEC 62321-5:2013, IEC 62321-7-2:2017 , IEC 62321-6:2015 and IEC 62321-8:2017, analyzed by ICP-OES , UV-Vis and GC-MS .

<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>016</u>	<u>017</u>
Cadmium (Cd)	100	mg/kg	2	ND	ND
Lead (Pb)	1,000	mg/kg	2	ND	ND
Mercury (Hg)	1,000	mg/kg	2	ND	ND
Hexavalent Chromium (CrVI)	1,000	mg/kg	8	ND	ND
Sum of PBBs	1,000	mg/kg	-	ND	ND
Monobromobiphenyl	-	mg/kg	5	ND	ND
Dibromobiphenyl	-	mg/kg	5	ND	ND
Tribromobiphenyl	-	mg/kg	5	ND	ND
Tetrabromobiphenyl	-	mg/kg	5	ND	ND
Pentabromobiphenyl	-	mg/kg	5	ND	ND
Hexabromobiphenyl	-	mg/kg	5	ND	ND
Heptabromobiphenyl	-	mg/kg	5	ND	ND
Octabromobiphenyl	-	mg/kg	5	ND	ND
Nonabromobiphenyl	-	mg/kg	5	ND	ND
Decabromobiphenyl	-	mg/kg	5	ND	ND
Sum of PBDEs	1,000	mg/kg	-	ND	ND
Monobromodiphenyl ether	-	mg/kg	5	ND	ND
Dibromodiphenyl ether	-	mg/kg	5	ND	ND
Tribromodiphenyl ether	-	mg/kg	5	ND	ND
Tetrabromodiphenyl ether	-	mg/kg	5	ND	ND



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-en-Document.aspx. Attention is drawn to the limitation of liability, indemrification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.
Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 144 or email: <u>CN.Doccheck@sgs.com</u> (Mint-D.L.C.L.) (2000) Check@sgs.com

198 Kezhu Road,Scientech Park Guangzhou Economic & Technology Development District,Guangzhou,China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国・广州・经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



Test Report	No. CANEC1917824411		Date: 18 Sep 2019		Page 3 of 6
<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	MDL	<u>016</u>	<u>017</u>
Pentabromodiphenyl ether	-	mg/kg	5	ND	ND
Hexabromodiphenyl ether	-	mg/kg	5	ND	ND
Heptabromodiphenyl ether	-	mg/kg	5	ND	ND
Octabromodiphenyl ether	-	mg/kg	5	ND	ND
Nonabromodiphenyl ether	-	mg/kg	5	ND	ND
Decabromodiphenyl ether	-	mg/kg	5	ND	ND
Dibutyl phthalate (DBP)	1,000	mg/kg	50	ND	ND
Butyl benzyl phthalate (BBP)	1,000	mg/kg	50	ND	ND
Bis (2-ethylhexyl) phthalate (DEHP)	1,000	mg/kg	50	ND	ND
Diisobutyl Phthalates (DIBP)	1,000	mg/kg	50	ND	ND

Notes :

(1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863.IEC 62321 series is equivalent to EN 62321 series

https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG_ID:1258 637,25

(2) The restriction of DEHP, BBP, DBP and DIBP shall apply to medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, from 22 July 2021.

(3) The restriction of DEHP, BBP, DBP and DIBP shall not apply to toys which are already subject to the restriction of DEHP, BBP, DBP and DIBP through entry 51 of Annex XVII to Regulation (EC) No 1907/2006.



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-en-Document.aspx. Attention is drawn to the limitation of liability, indemrification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.
Attention: To check the authenticity of festing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443.

.ttention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 144 r email: CN.Doccheck@sgs.com

198 Kezhu Road,Scientech Park Guangzhou Economic & Technology Development District,Guangzhou,China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国・广州・经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



No. CANEC1917824411

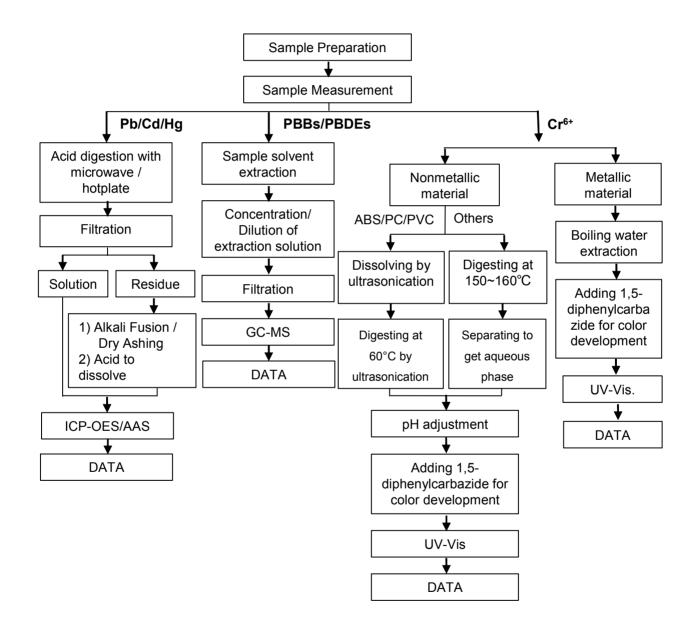
Date: 18 Sep 2019

Page 4 of 6

ATTACHMENTS

Pb/Cd/Hg/Cr6+/PBBs/PBDEs Testing Flow Chart

1) These samples were dissolved totally by pre -conditioning method according to below flow chart. (Cr⁶⁺ and PBBs/PBDEs test method excluded).





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-en-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Cilent's instructions, if any. The Company's sole responsibility is to its Cilent and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 144 or email: <u>CN.Doccheck@sgs.com</u>

198 Kazhu Road,Scientech Park Guangzhou Economic & Technology Development Dishird,Guangzhou,China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国・广州・经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



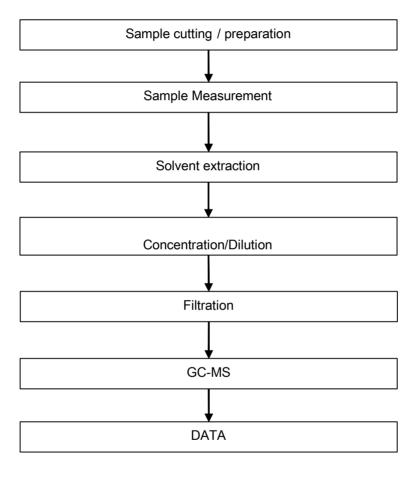
No. CANEC1917824411

Date: 18 Sep 2019

Page 5 of 6

ATTACHMENTS

Phthalates Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-en-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Cilent's instructions, if any. The Company's sole responsibility is to its Cilent and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

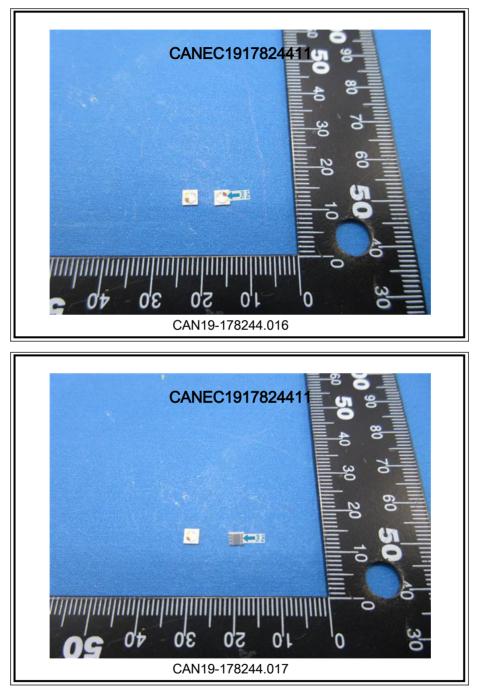
Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 144 or email: <u>CN.Doccheck@sgs.com</u>

198 Kezhu Road,Scientech Park Guangzhou Economic & Technology Development District,Guangzhou,China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国・广州・经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



No. CANEC1917824411

Sample photo:



SGS authenticate the photo on original report only

*** End of Report ***



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or rappearance of this document is any be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@gs.com

198 Kezhu Road,Soientech Park Guangzhou Economic & Technology Development District,Guangzhou,China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国・广州・经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com